17691 U.S.PTO
100603

Express Mail Number: EV301024117US

Docket No. B-5253 621321-1
Date: October 6, 2003

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

22386 U.S.PTO
10/680609
100603

Sir:

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): (1) Hiroaki SHIBASAKI

NOTE: Patent must be applied for in the name of all of the actual inventor or inventors.

For: "INFORMATION PROVIDING SYSTEM AND METHOD AND INFORMATION RECORDED MEDIUM SO ON"

Enclosed are:

1. **The Papers Required For Filing Date Under 37 CFR 1.53(b):**

62 Pages of specification 1 Page of abstract 14 Pages of claims

9 Sheets of drawings [X] formal [ ] informal
(Figs. 1-2, 3A-3B, 4-9)

[X] In addition to the above papers there is also attached

[ ] ___ Pages of a Preliminary Amendment dated _____

[X] Postcard
[X] Check for filing fee in the amount of $1226.00
[X] Declaration/Power of Attorney (3 pages)
[ ] Verified Statement Claiming Small Entity Status--
Small-Business Concern (1 page)
[X] Cross-Reference To Related Application(s) (1 page)
[X] Claim to Priority (1 page) with
[X] Certified Copy of Japan Application No. P2002-296187
[X] Certified Copy of Japan Application No. P2002-380866
[X] Information Disclosure Statement (2 pages) and
Form PTO-1449 (modified) (1 page), with copies of documents
cited in Form PTO-1449 (modified)
[X] Assignment Cover Sheet (1 page), Assignment document (3 pages),
and Check for $40.00

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service in an Express Mail envelope with sufficient postage for Express Mailing on this date October 6, 2003 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number **EV301024117US** addressed to the:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Ericca Long
(Typed or printed name of person mailing paper)

(Signature of person mailing paper)

NOTE: Each paper or fee referred to as enclosed herein has the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 CFR 1.10(b).

2. Declaration or oath

[X] Enclosed

[X] original [ ] facsimile

executed by:

[X] inventor(s)

[ ] legal representative of inventor(s) 37 CFR 1.42 or 1.43

[ ] joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached. 37 CFR 1.47.

[ ] petition and statement required by 37 CFR 1.47 also attached. See item 7 below for fee.

[ ] Not Enclosed

[ ] Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all of the above named inventor(s). The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently.

[ ] Showing that the filing is authorized. (Not required unless called into question. 37 CFR 1.41(d)).

NOTE: Where the filing is a completion in the U.S. of an international application under 35 U.S.C. 371(c)(4) then the declaration must be filed.

3. Assignment

[X] An assignment of the invention to PIONEER CORPORATION

(with separate cover sheet and separate check for $40.00)

4. Certified Copies

[X] A certified copy of Japan Application Nos.

P2002-296187 & P2002-380866 from which priority is claimed.

NOTE: Must be referred to in oath or declaration. 37 CFR 1.55 and 163.

5. Fee Calculation

CLAIMS	AS FILED		
Number Filed	**Number Extra**	**Rate**	**Basic Fee $ 770.00**
Total Claims 31 -20=	11 x	$ 18.00	198.00
Independent Claims 6 -3=	3 x	$ 86.00	258.00
Multiple Dependent Claim(s), If Any	0 x	$280.00	0

[ ] Amendment canceling extra claims enclosed

[ ] Amendment deleting multiple dependencies enclosed

[ ] Fee for extra claims is not being paid at this time

NOTE: If the fee for extra claims are not paid on filing they must be paid or the claims canceled by amendment, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency, 37 CFR 1.16(d).

Filing Fee Calculation $ 1226.00

6. **Small Entity Statement**

[ ] Verified statement that this is a filing by a small entity under 37 CFR 1.9 and 1.27.

Filing Fee Calculation (50% of above) $_____

NOTE: If a verified statement is filed within 2 months of the date of payment of first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

7. **Fee Payment Being Made At This Time**

[ ] Not Enclosed

[ ] No filing fee is submitted. This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.

NOTE: Where the filing is a completion in the U.S. of an international application the fee must be paid.

[X] Enclosed

[X] filing fee $ 1226.00

[ ] recording assignment ($40.00; 37 CFR 1.21(h)(i)) $_____

[ ] petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. 37 CFR 1.47 and 1.17 (h) $_____

Total fees enclosed $ 1226.00

8. **Method of Payment of Fees**

[X] check in the amount of $ 1226.00

[ ] charge account No. 12-0415 in the amount of $_____
A duplicate of this transmittal is attached.

NOTE: Fees should be itemized is such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b).

9. **Authorization to Charge Additional fees**

[X] The Commissioner is hereby authorized to charge the following additional fees which may be required to Account No. 12-0415:

[X] 37 CFR 1.16 (filing fees and presentation of extra claims)

[X] 37 CFR 1.17 (application processing fees)

[ ] 37 CFR 1.18 (issue fee at or before Mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))

NOTE: 37 CFR 1.28(b) requires "Notification of any change in loss of entitlement to small entity status must be filed in the application...prior to paying... issue fee".

10. **Instructions As To Overpayment**

[X] Credit Account No. 12-0415 [ ] refund

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EV301024117US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hiroaki SHIBASAKI	) Group: Not yet assigned
Serial No.: Not yet assigned	)
Filed: Concurrently herewith	) Examiner: Not yet assigned
For: "INFORMATION PROVIDING SYSTEM AND METHOD AND INFORMATION RECORDED MEDIUM SO ON"	) Our Ref: B-5253 621321-1) Date: October 6, 2003

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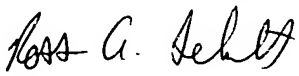
CROSS-REFERENCE TO RELATED APPLICATION(S)

Sir:

This application is related to the U.S. patent application invented by Hiroaki SHIBASAKI, entitled "INFORMATION PROVIDING SYSTEM AND METHOD AND INFORMATION RECORDED MEDIUM SO ON," which application is being filed on October 6, 2003 (Attorney Docket No. B-5252 621320-3).

Respectfully submitted,



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